## LAPT HEC'CPUIPTO 21 MAR 2006

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER CN 030025

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371								
	TIONAL APPLICATION NO. 2004/0051807	INTERNATIONAL FILING DATE September 21, 2004	PRIORITY DATE CLAIMED September 24, 2003					
	TITLE OF INVENTION METHODS AND SYSTEM FOR CONTROLLING A LIGHTING APPARATUS							
APPLICANT(S) FOR DO/EO/US								
Xuecheng QIAN  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
1.  This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.								
2. 🗆	2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.							
This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4.	The US has been elected (Article 31).							
5. 🗸	A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
	a. is attached hereto (required only if not communicated by the International Bureau).							
	b.  has been communicated by the International Bureau.							
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).							
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).							
	a. is attached hereto.	``,						
	b. has been previously submi	itted under 35 U.S.C. 154(d)(4).						
7.	Amendments to the claims of the Inte	emational Application under PCT Article 19	(35 U.S.C. 371(c)(3))					
8.	a. are attached hereto (requi	ired only if not communicated by the Interna	tional Bureau).					
	b. have been communicated	by the International Bureau.	·					
	c. have not been made; how	ever, the time limit for making such amendr	nents has NOT expired.					
_	d.  have not been made and	will not be made.						
8. 🗀	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9. 🗹	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10. 🗌	An English language translation of th Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary E	examination Report under PCT					
Items	Items 11 to 20 below concern document(s) or Information included:							
11. 📙	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.						
12. 🗸	An assignment document for recording	ng. A separate cover sheet in compliance w	ith 37 CFR 3.28 and 3.31 is included.					
13. 🗸	A preliminary amendment.							
14, 🗀	An Application Data Sheet under 37	CFR 1.76.						
15.	A substitute specification.							
16. 🗹	A power of attorney and/or change or	f address letter.						
17.	A computer-readable form of the seq	uence listing in accordance with PCT Rule	13ter.2 and 37 CFR 1.821- 1.825.					
18.	A second copy of the published Inter	national Application under 35 U.S.C. 154(d)	(4).					
19. 🗀	· · · · · · · · · · · · · · · · · · ·	ge translation of the international application						
20. 🗸	Other items or information:	Mail Certificate; PTO/SB/96; Receipt Cor	miniation rostcard					

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.					ATTORNEY'S DOCKET NUMBER			
<b>1</b> ↑ ↑ □ ↑ ↑ ↑ ↑ ↑ PCT/IB2004/0051807					CN 030025			
The following fees have been submitted			CALCULATIONS	PTO USE ONLY				
21. A Basic national fee\$300					\$ 300.00	:		
If International p PCT Article 33	nination fee reliminary examina 3(1)-(4)	\$ 200.00						
Search fee (37 C Internatio International Sea	rch fee FR 1.445(a)(2)) hanal Searching Aut arch Report prepar	\$ 400.00						
	TOTAL OF 21, 22	\$ 900.00						
Sequence lis	e for specification sting or computer   250 for each addit							
Total Sheets	Extra Sheets		additional 50 or fraction p to a whole number)	RATE				
- 100 =	/50 =			x \$250	\$			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					\$			
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$			
Total claims	10	- 20 =		x \$50	\$ 0.00			
Independent clai	ims 2	- 3 =		x \$200	\$ 0.00	_		
MULTIPLE DEP	ENDENT CLAIM(S	6) (if applicable)	· · ·	+ \$360	\$			
	-		TOTAL OF ABOVE	CALCULATIONS =	\$ 0.00			
Applicant cla	aims small entity s	atus. See 37 CFR	1.27. Fees above are reduce	ced by 1/2.				
				SUBTOTAL =	\$ 900.00			
	of <b>\$130.00</b> for furni date (37 CFR 1.49	ths from the earliest	\$					
		L NATIONAL FEE =	\$ 900.00					
			1.21(h)). The assignment mu 40.00 per property	ust be accompanied +	\$ 40.00			
			TOTAL F	EES ENCLOSED =	\$ 940.00			
		Amount to be refunded:	\$					
		Amount to be	\$ 940.00					
	Charged.							
a. A check in the amount of \$ to cover the above fees is enclosed.  b. Please charge my Deposit Account No. 14-1270 in the amount of \$ to cover the above fees.								
A duplicate copy of this sheet is enclosed.								
Account No. 14-1270 . A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international Application to pending status.								
SEND ALL COR	RESPONDENCE	то:		SIGNATURE		5		
Co	orporate Pater							
	ilips Electronics Nor	David Barnes NAME						
	O. Box 3001							
В	riarcliff Manor,	ON NUMBER						

## AP20 ROSCI ULTTO 21 MAR 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

QIAN et al.

CN 030025

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

METHODS AND SYSTEM FOR CONTROLLING A LIGHTING APPARATUS

	CERTIFICATE OF EXPRESS MAILING
[X] Express Mail Mailing	Label No.
	EV746348666-45
Date of Deposit:	3/21/06
Service "Express Mail P	s paper and/or fee is being deposited with the United States Postal ost Office to Addressee" service under 37 C.F.R. 1.10 on the date addressed to the Commissioner for Patents, PO Box, 1450, Alexandria,
Jeanne Rusciano	Seame Russiano